

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>214693.00011</b>		APPLICATION NO. <b>Continuation of S.N. 10/053,925</b>	
Date Submitted to PTO: <b>July 18, 2003</b>				APPLICANT <b>LYNN TILTON</b>		FILING DATE <b>Concurrently Herewith</b>	
				GROUP <b>3694</b> <del>Unassigned</del>			

  

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AC	US 6,253,191 B1	06/20/00	Hoffman	705	35		
	6,078,904	06/20/00	Rebane	705	35		
	6,067,533	06/20/00	McCauley, et al.	705	38		
	6,061,662	05/09/00	Makivic	705	38		
	6,058,377	05/02/00	Traub, et al.	364	36		
	6,018,721	01/25/00	Aziz, et al.	705	35		
	5,950,175	09/07/99	Austin	705	35		
	5,946,668	08/31/99	George	705	38		
	5,870,720	02/09/99	Chusid, et al.	705	38		
	5,704,045	12/30/97	King, et al.	395	235		
	5,193,056	03/09/93	Boes	364	408		
	4,752,877	06/21/88	Roberts, et al.	364	408		
	4,739,478	04/19/88	Roberts, et al.	364	408		

  

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
Missing	WO 01/09782 A2	02/08/01	PCT			Yes	

  

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
Missing	<del>H. Albuiescu, "Distressed Debt CDOs: Spinning Straw Into Gold". Ratings Direct. May 7, 2001, entire document.</del>  <del>Sherer, et al., "Fleet Street Plays 'Good Bank/Bad Bank'", Wall Street Journal, January 2001, page 62, see entire document.</del>

  

EXAMINER <b>J. Collett</b>	DATE CONSIDERED <b>12-28-07</b>
----------------------------	---------------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		ATTY DOCKET NO. <b>214693.00011</b>	APPLICATION NO. <b>Continuation of S.N. 10/053,925</b>
Date Submitted to PTO: <b>July 18, 2003</b>		APPLICANT <b>LYNN TILTON</b>	
		FILING DATE <b>Concurrently Herewith</b>	GROUP <b>Unassigned</b> <span style="float: right; font-size: 1.5em;">3694</span>

  

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

  

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

  

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">Missing</div>	<p><del>"Suddenly Structure Mattered: Insights into Recoveries from Defaulted Debt", Standard &amp; Poor's CreditWeek, May 31, 2000, pages 11-16.</del></p> <p><del>"The Investment Performance of Bankrupt Corporate Debt Obligations: Moody's Bankrupt Bond Index 2000", Moody's Investors Service Global Credit Research, February 2000, pages 1-12.</del></p> <p><del>"Recovering Your Money: Insights into Losses from Defaults", Standard &amp; Poor's CreditWeek, June 16, 1999, pages 29-34.</del></p> <p><del>"Debt Recoveries for Corporate Bankruptcies", Moody's Investors Service Global Credit Research, June 1999, pages 1-16.</del></p> <p><del>Charles Stone, et al., "Synthetic Securitization", Securitization Conduit, Vol. 2, No. 4, 1999, pages 3-4.</del></p> <p><del>"Bankrupt Bank Loan Recoveries", Moody's Investors Service Global Credit Research, June 1998, pages 1-16.</del></p> <p><del>Peter Humphreys, "Securitization Trends", International Financial Law Review, 1998, pages 1-6.</del></p> <p><del>"A Sense of Security, Moody's Approach to Evaluating Bank Loan Structure and Collateral", Moody's Investors Service Global Credit Research, October 1997, pages 1-12.</del></p> <p><del>Secured Lender, 1997, pages 78-79.</del></p> <p><del>M.J. Shaw, et al., "Inductive Learning for Risk Classification", 1990, pages 47-53.</del></p> <p><del>Asset-Backed Securities Week, Swap-Dependent Synthetic Securities: Rating Criteria, 1996, pages 1-2.</del></p>

  

EXAMINER <b><i>[Signature]</i></b>	DATE CONSIDERED <b>12-28-07</b>
------------------------------------	---------------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.